

服務收費

交易費用

電子系統交易佣金	交易金額之 0.03% (每宗交易最低 HK\$30)
電話交易佣金	交易金額之 0.15% (每宗交易最低 HK\$75)
交易徵費	交易金額之 0.0027%
交易所費用	交易金額之 0.00565%
中央結算交收費及其他	交易金額之 0.002% (每宗買賣最低 HK\$2, 最高 HK\$100)
政府印花稅	交易金額之 0.13% (不足一港元亦作一港元計)
財匯局交易徵費	交易金額之 0.00015%

實物股票處理收費

存倉費	豁免
存入實貨費	過戶費用每張 HK\$5 註1
提取實貨費	每手股份 HK\$3.5 (最低收費 HK\$30) 註2

電子交收指示收費

存入交收指示	豁免
提取交收指示	每種股票收費為其總值之 0.1%, 最低收費 HK\$100 (股票價值以上日收市計算)
投資者交收指示費	豁免
即時貨銀對付交收費	豁免

代理人服務

經中央結算強制補購	每宗 HK\$100 另加 a. 強制性代補購交易之金額 b. 中央結算手續費及 c. 利息
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Service Charges

Trade-Related Services Charges

Commission for Online Trading	0.03% per trade (min HK\$30)
Commission for Trading Hotlines	0.15% per trade (min HK\$75)
Transaction levy	0.0027% per trade (to the closest cent)
Trading fee	0.00565% per trade (to the closest cent)
CCASS stock settlement fee	0.002% per trade (min HK\$2, max HK\$100)
Stamp duty	0.13% per trade (round up to the nearest dollar)
FRC Transaction Levy	0.00015% (to the closest cent)

Physical Scrip Handling

Custodian fee	Waived
Deposit charge	Transfer stamp duty HK\$5 per deed #1
Withdrawal charge	HK\$3.5 per board lot (min HK\$30) #2

Settlement Instruction Charges

Settlement Instruction (S.I.) Deposit	Waived
Settlement Instruction (S.I.) Withdrawal	0.1% on value of eligible securities at previous trading day closing per stock code (min HK\$100)
Investor Settlement Instruction (I.S.I.) Deposit/ Withdrawal	Waived
Real Time Delivery Against Payment	Waived

Nominee Services

Compulsory share buyback through CCASS	HK\$100 per trade + a. Cash amount of compulsory share buyback b. CCASS handling charge c. Interest
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註1 客人可沽出即日存入之股票，款項需於存入股票日起7個工作天後才可提取
註2 碎股亦視作一個買賣單位計算，股票價值以上日收市計算

龍匯證券有限公司對上述收費保留一切決定權
如有任何收費之修改，將不會另行通知

2023年1月1日生效

#1 Deposited physical stock can be sold on the same day, but cash can only be withdrawn after 7 working days
#2 Odd lots are charged as board lots

Topper Dragon Securities Ltd reserves the right to amend the above charges without prior notice.

服務收費

企業行動

行使現金結算認股權證 贖回上市票據及債券	豁免
存倉股份合併或分拆	豁免
代收現金股息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
以股代息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
過戶費	每手股份 HK\$1.5 (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
代收紅股/ 供股權/ 認股權證或其他權益	每手股份 HK\$0.8 (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
經中央結算行使供股權/ 認股權證/認購配售證券或 其他權益	每手股份 HK\$0.8 (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
申請額外供股	每手股份 HK\$0.8 (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
經中央結算行使私有化回購	每種股票收費為股息之 0.2% (每宗交易最低收費 HK\$30) + 中央結算手續費 #2
電子新股認購	每宗申請 HK\$20
股東大會	出席或授權第三方出席股東大會 (最多 1 位) 或 委託龍匯證券投票 行政費每宗申請 HK\$30

其他服務收費

現金帳戶借欠利息	創興銀行最優惠利率 + 5%
客戶支票退票	每宗退票手續費 HK\$200 另加短欠之利息
銀行電子過帳系統	每次 HK\$300
代客追收未領取之股息/ 紅股/ 供認股權證/ 或其他權益	每宗 HK\$500 另加中央結算收費
索取月結單副本費用	豁免(最近三個月), 超過三個月前每頁收費 HK\$50
索取其他文件副本費用	每頁 HK\$100

Service Charges

Corporate Actions

Exercise of warrants, redemption of listed notes & bond	Waived
Custody of share consolidation/ share splitting	Waived
Cash/ scrip dividend collection	0.5% of each cash amount of dividend (min HK\$30 per transaction) + CCASS handling charge #2
Scrip dividend	0.5% of each cash amount of dividend (min HK\$30 per transaction) + CCASS handling charge #2
Scrip Fee	HK\$1.5 per board lot (min HK\$30 per transaction) + CCASS handling charge #2
Collection of bonus issue, right issue, stock warrants	HK\$0.8 per board lot (min HK\$30 per transaction) + CCASS handling charge #2
Exercise of right subscription/ stock warrants/ securities placement subscription/ cash offer	HK\$0.8 per board lot (min HK\$30 per transaction) + CCASS handling charge #2
Excess rights application	HK\$0.8 per board lot (min HK\$30 per transaction) + CCASS handling charge #2
Exercise of privatization	0.2% of each cash amount of dividend (min HK\$30 per transaction) + CCASS handling charge #2
EIPO	HK\$20 per application
General meeting of stockholders	Attend or authorize a third party to attend the general meeting of stockholders (maximum 1 person) OR entrust Topper Dragon Securities to vote Administration fee HK\$30 per application

Other Services

Overdue settlement interest (cash A/C)	Chong Hing Bank prime rate + 5%
Client's return cheque charge	HK\$200 per cheque+ Interest charge
Fund transfer by CHATS	HK\$300 per transaction
Claim unclaimed dividends/ bonus share/ stock warrants/ other interests	HK\$500 + CCASS handling charge
Photocopying of statement	Waived (Within last 3 months), HK\$50 per page
Photocopying of documents	HK\$100 per cycle or per copy

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